

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kazuo Tanaka et al.
Serial No. : 10/627,944
Filed : July 25, 2003
Title : OPTICAL WAVEGUIDE DEVICE

Art Unit : Unknown
Examiner : Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants disclose the documents listed on the attached form PTO-1449, copies of which are enclosed. Also enclosed is a copy of a search report dated December 2, 2003, issued in corresponding European Application EP 03 25 4624. The disclosed documents were first cited in any communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this statement.

This statement is also being filed before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 2-12-04

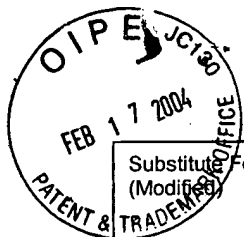
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 12, 2004
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Signature
Diane M. Saturno
Typed or Printed Name of Person Signing Certificate



Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 16283-002001	Application No. 10/627,944
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Kazuo Tanaka et al.	
		Filing Date July 25, 2003	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	0 185 782 A1	07/02/86	EP	G02B	21/00		
	AM	WO 02/10830 A2	07/27/01	WIPO	G02B	21/00		
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	Johnson et al., "Optical constants of the Noble Metals", Phys. Rev. B. Solid State, American Institute of Physics 6:4370-4379, 1972, XP-001031007.
	AR	Schroter et al., "Surface Plasmon Polaritons on Metal Cyoinders with Dielectric Core", Physical Review B 64:125420-1-125420-10, 2001, XP002259671.
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	